The Existential Closedness with Derivatives conjecture for the *j*-function

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Logic Colloquium

7 June 2023

Image: A matrix and a matrix

Conjecture (Schanuel, 1960s)

For any \mathbb{Q} -linearly independent complex numbers z_1, \ldots, z_n we have

 $\operatorname{td}_{\mathbb{Q}}\mathbb{Q}(z_1,\ldots,z_n,e^{z_1},\ldots,e^{z_n})\geq n.$

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• Schanuel implies that certain systems of equations do not have solutions. E.g. for n = 2 it implies that for any non-constant polynomial $p(X, Y) \in \mathbb{Q}[X, Y]$ the system $e^z = 1$, p(z, e) = 0 does not have solutions in \mathbb{C} .

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Conjecture (Exponential Closedness, Zilber, early 2000s)

Let $V \subseteq \mathbb{C}^n \times (\mathbb{C}^{\times})^n$ be a **free** and **rotund** variety. Then V contains a point of the form $(z_1, \ldots, z_n, e^{z_1}, \ldots, e^{z_n})$.

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- $\operatorname{GL}_2^+(\mathbb{R})$ is the group of 2×2 matrices with real entries and positive determinant. It acts on \mathbb{H} via linear fractional transformations. That is, for $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \operatorname{GL}_2^+(\mathbb{R})$ we define

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- Let $j : \mathbb{H} \to \mathbb{C}$ be the modular *j*-function.
- *j* is holomorphic on \mathbb{H} and is invariant under the action of $SL_2(\mathbb{Z})$, i.e. $j(\gamma z) = j(z)$ for all $\gamma \in SL_2(\mathbb{Z})$.

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• There is a countable collection of irreducible polynomials $\Phi_N \in \mathbb{Z}[X, Y]$ $(N \ge 1)$, called *modular polynomials*, such that for any $z_1, z_2 \in \mathbb{H}$

 $\Phi_N(j(z_1), j(z_2)) = 0$ for some N iff $z_2 = gz_1$ for some $g \in GL_2^+(\mathbb{Q})$.

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• $\Phi_1(X, Y) = X - Y$ and all the other modular polynomials are symmetric.

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Conjecture (Modular Schanuel Conjecture)

Let $z_1, \ldots, z_n \in \mathbb{H}$ be non-quadratic numbers with distinct $GL_2^+(\mathbb{Q})$ -orbits. Then $td_{\mathbb{Q}} \mathbb{Q}(z_1, \ldots, z_n, j(z_1), \ldots, j(z_n)) \geq n$.

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Conjecture (Modular Existential Closedness, A.-Kirby 2021)

Let $V \subseteq \mathbb{H}^n \times \mathbb{C}^n$ be an irreducible **froad** (**free** and **broad**) variety defined over \mathbb{C} . Then $V \cap \Gamma_j \neq \emptyset$.

This is an analogue of Zilber's Exponential Closedness conjecture.

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Definition

Let $V \subseteq \mathbb{H}^n \times \mathbb{C}^n$ be an algebraic variety.

V is *broad* if for any 1 ≤ k₁ < ... < k_m ≤ n the projection of V to the coordinates (x_{k₁},..., x_{k_m}, y_{k₁},..., y_{k_m}) is at least m.

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- V is *free* if no coordinate is constant on V, no relation of the form Φ_N(y_i, y_k) = 0 holds on V, and no relation of the form x_k = gx_i holds on V where g ∈ GL₂(ℚ).

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- V is **froad** if it is free and broad.

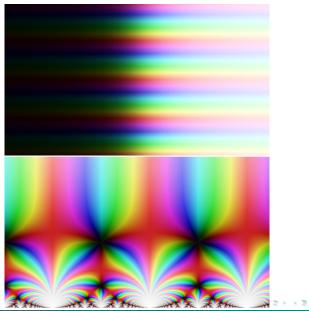
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There are two main differences between exponential and modular Existential Closedness.

• Exponential functions are defined on the whole complex plane while modular functions are defined on the upper half-plane. These spaces (\mathbb{C} and \mathbb{H}) are geometrically different which accounts for different approaches to EC in these two settings.

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$\mathsf{Exp} \; \mathsf{vs} \; j$



Vahagn Aslanyan (Leeds)

Modular EC with Derivatives

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- Exponential functions are defined on the whole complex plane while modular functions are defined on the upper half-plane. These spaces (\mathbb{C} and \mathbb{H}) are geometrically different which accounts for different approaches to EC in these two settings.
- Modular functions satisfy third-order differential equations, so we can consider EC for these functions together with their first two derivatives. Exponential functions satisfy first-order differential equations so considering derivatives would not change anything.

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- $V \subseteq \mathbb{C}^{4n}$ is **free** if its projection to the first 2n coordinates is free.
- V is **froad** if it is free and broad.

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- Derivatives of modular functions are modular forms of weight 2.

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Some ingredients in the proofs: complex analysis/geometry (Rouché, argument principle, open mapping), o-minimality (dimension theory), differential algebra (differential forms, Ax-Schanuel).