Modular Existential Closedness with Derivatives

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16 July 2025

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- $\operatorname{GL}_2^+(\mathbb{R})$ is the group of 2×2 matrices with real entries and positive determinant. It acts on \mathbb{H} via linear fractional transformations. That is, for $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \operatorname{GL}_2^+(\mathbb{R})$ we define

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• The function $j : \mathbb{H} \to \mathbb{C}$ is a modular function of weight 0 for the modular group $SL_2(\mathbb{Z})$ defined and analytic on \mathbb{H} .

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• j(gz) = j(z) for all $g \in SL_2(\mathbb{Z})$.

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Fundamental domains of $SL_2(\mathbb{Z})$



Visual representation of j



Figure: Representation of *j* via domain colouring (from Wikipedia)

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$$\frac{j'''}{j'} - \frac{3}{2} \left(\frac{j''}{j'}\right)^2 + \frac{j^2 - 1968j + 2654208}{2j^2(j - 1728)^2} \cdot (j')^2 = 0.$$

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• For
$$\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$
 we have

$$j'(\gamma z) = (cz + d)^2 j'(z),$$
$$j''(\gamma z) = (cz + d)^4 j''(z) + 2c(cz + d)^3 j'(z).$$

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Every **free** and **broad** system of equations has a solution in \mathbb{H} . Geometrically, every **free** and **broad** algebraic variety $V \subseteq \mathbb{H}^n \times \mathbb{C}^{3n}$ intersects the graph $\Gamma \subseteq \mathbb{H}^n \times \mathbb{C}^{3n}$ of the function $z \mapsto (j(z), j'(z), j''(z))$.

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Freeness and broadness are *algebraic* conditions: they mean that the system of equations is not overdetermined (i.e. does not have more equations and variables). For example, broadness implies that dim $V \ge n$. The above conjecture is based on Zilber's *Exponential Closedness* conjecture (c. 2002).

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If $V \subseteq \mathbb{H}^n \times \mathbb{C}^{3n}$ is free and broad then the intersection $V \cap \Gamma$ is Zariski dense in V.

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Conjecture (Existential Closedness for n = 1)

Let $V \subseteq \mathbb{H} \times \mathbb{C}^3$ be an algebraic variety of dimension 3 with no constant coordinates. Then V contains a Zariski dense subset of points of the form $(z, j(z), j'(z), j''(z)) \in \mathbb{H} \times \mathbb{C}^3$.

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In terms of equations this amounts to the following. Let $F(X, Y_0, Y_1, Y_2)$ be a polynomial over \mathbb{C} . We say the equation F(z, j(z), j'(z), j''(z)) = 0 has a Zariski dense set of solutions if for any polynomial $G(X, Y_0, Y_1, Y_2)$ which is not divisible by some irreducible factor of F, there is $z_0 \in \mathbb{H}$ such that $F(z_0, j(z_0), j''(z_0), j''(z_0)) = 0$ and $G(z_0, j(z_0), j''(z_0), j''(z_0)) \neq 0$.

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Main theorem

Theorem (A.-Eterović-Mantova, 2023)

For any polynomial $F(X, Y_0, Y_1, Y_2) \in \mathbb{C}[X, Y_0, Y_1, Y_2] \setminus \mathbb{C}[X]$ which is coprime to $Y_0(Y_0 - 1728)Y_1$, the equation F(z, j(z), j'(z), j''(z)) = 0 has a Zariski dense set of solutions, i.e. the set $\{(z, j(z), j'(z), j''(z)) \in \mathbb{H} \times \mathbb{C}^3 : F(z, j(z), j'(z), j''(z)) = 0\}$ is Zariski dense in the hypersurface $F(X, Y_0, Y_1, Y_2) = 0$.

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Observe that

$$\forall z \in \mathbb{H}\left[j(z)(j(z)-1728)=0 \Leftrightarrow j'(z)=0
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Observe that

$$\forall z \in \mathbb{H}\left[j(z)(j(z) - 1728) = 0 \Leftrightarrow j'(z) = 0\right)\right].$$

This immediately gives that the three equations j(z) = 0, j(z) - 1728 = 0, and j'(z) = 0 do not have Zariski dense sets of solutions.

 As an immediate consequence of the theorem we get that j''(z) has zeroes outside SL₂(Z)ρ.

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Theorem (Argument Principle)

Let f be a meromorphic function on a complex domain Ω . Let C be a simple closed curve (positively oriented) which is homologous to 0 in Ω and such that f has no zeroes or poles on C. Let Z and P respectively denote the number of zeroes and poles of f in the interior of C. Then

$$2\pi i(Z-P) = \oint_C \frac{f'(z)}{f(z)} dz = \oint_{f \circ C} \frac{dz}{z}.$$

Theorem (Rouché's theorem)

Let f, g be holomorphic functions on a complex domain Ω . Let C denote a simple closed curve which is homologous to 0 in Ω . If the inequality

|g(z)| < |f(z)|

holds for all z on C, then f and g have the same number of zeroes in the interior of C.

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- First, apply the SL₂(\mathbb{Z})-transformation $z\mapsto -rac{1}{z}$ and get

$$z^{2}j'(z) - j(z) = 0.$$
 (*)

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- Recall that j'(i) = 0. Work in a small neighbourhood D of i on the boundary of which j'(z) does not vanish.
- Now apply the transformation $z \mapsto z + m$ for a large integer m and get

$$(z+m)^2 j'(z) - j(z) = 0.$$

• For large *m*, on the boundary of *D* we will have $|(z + m)^2 j'(z)| > |j(z)|$,

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- Then $z_m + m$ is a solution to (*).
- If $z_m + m$ solves another equation G(z, j(z), j'(z), j''(z)) = 0 then we can eliminate z from this and (*) and get an equation H(j(z), j'(z), j''(z)) = 0

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- If $z_m + m$ solves another equation G(z, j(z), j'(z), j''(z)) = 0 then we can eliminate z from this and (*) and get an equation H(j(z), j'(z), j''(z)) = 0 also having solutions at $z_m + m$. Since the function is 1-periodic, we get $H(j(z_m), j'(z_m), j''(z_m)) = 0$ which contradicts the identity theorem.

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- However, at $i\infty$ the functions j, j', j'' all have exponential growth, and j(j 1728) grows faster than j'. In other words, $\frac{j(j-1728)}{i'}$ has a pole at $i\infty$.
- So we apply the argument principle in a suitable region the standard fundamental domain cut by a horizontal line from above.

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$$z^{2}j'(z) - j(z)(j(z) - 1728) = 0.$$
 (†)

- Unlike the previous case, here all zeroes of j' are also zeroes of j(j 1728) (even if we count multiplicities). So the previous argument doesn't go through.
- However, at $i\infty$ the functions j, j', j'' all have exponential growth, and j(j-1728) grows faster than j'. In other words, $\frac{j(j-1728)}{i'}$ has a pole at $i\infty$.
- So we apply the argument principle in a suitable region the standard fundamental domain cut by a horizontal line from above.
- We can show that the winding number of the image of the boundary of that region under the function $\sqrt{\frac{j(j-1728)}{j'}} z$ around large integers is non-zero.

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- Hence, there is z_m in the fundamental domain (tending to $i\infty$) such that $\sqrt{\frac{j(z_m)(j(z_m)-1728)}{i'(z_m)}} z_m = m.$
- Then $z_m + m$ is a solution to (†), and Zariski density follows as before.

As a by-product, we establish the following theorem.

Proposition (A.-Eterović-Mantova, 2023)

Let $f_0, \ldots, f_n : \mathbb{H} \to \mathbb{C}$ be 1-periodic meromorphic functions. Suppose that for some k one of the following conditions is satisfied:

• there is $\tau \in \mathbb{H}$ such that $\frac{f_k}{f_n}(z) \to \infty$ as $z \to \tau \in \mathbb{H}$, or

• $\frac{f_k}{f_n}(z) \to \infty$ as $\operatorname{Im}(z) \to +\infty$.

Then there is a sequence of points $\{z_m\}_{m\in\mathbb{N}} \subseteq \mathbb{H}$ with $z_m \neq \tau$ and $z_m \rightarrow \tau$ in the first case, or $\operatorname{Im}(z_m) \rightarrow +\infty$ and $0 \leq \operatorname{Re}(z_m) \leq 1$ in the second case, such that for all sufficiently large m the point $z_m + m$ is a solution to the equation

$$f_n(z)z^n + f_{n-1}(z)z^{n-1} + \ldots + f_0(z) = 0.$$

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